

Date: October 18, 2019

To

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001

Dear Sir,

**(Sub - Submission of Corporate Governance Report for the Quarter ended 30.09.2019)**

Pursuant to the Listing Agreement entered into with the Stock Exchange, please find enclosed herewith the Corporate Governance Report for the quarter ended 30.09.2019 as per Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please take the note of the same for your record.

Thanking You  
Yours Faithfully

For RSD Finance Limited



Rajeev Singh Dugal  
(Managing Director)  
DIN - 00052037



Encl: As above

1. Name of Listed Entity: RSD FINANCE LIMITED
2. Scrip Code/Name of Scrip/Class of Security : **28123 of Rs.10/- each.**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) : **Reg. 31(1)(b)**
  - a. If under 31(1)(b) then indicate the report for Quarter ended : **30-09-2019**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
1	Whether the Listed Entity has issued any partly paid up shares?	-	NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	NO
4	Whether the Listed Entity has any shares in locked-in?	-	NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	NO

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, Locked-in-shares, no. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

For RSD FINANCE LTD.

  
Managing Director.

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding as a % total no. of shares (calculate d as per SCRR, 1957) (VIII) As a % of (A+B+C2) As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2) (XI)	Number of Locked in shares ** (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Share s held (b)
								Class: X*	Class Y	Total								
(A)	Promoter & Promoter Group	3	4849520	0	0	4849520	74.92	4849520	0	4849520	74.92	0	0	0	0	0	4849520	
(B)	Public	794	1623720	0	0	1623720	25.08	1623720	0	1623720	25.08	0	0	0	0	0	912430	
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
	<b>Total</b>	<b>797</b>	<b>6473240</b>	<b>0</b>	<b>0</b>	<b>6473240</b>	<b>100.00</b>	<b>6473240</b>	<b>0</b>	<b>6473240</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>5761950</b>	

\*Voting rights for the ordinary shares have been declared in class X

For RSD FINANCE LTD.

  
Managing Director

## (II) Statement showing Shareholding Pattern of the Promoter and Promoter Group

Category	Category & name of the Shareholders (I)	PAN (II)	Number of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII= IV+V+VI	Shareholding % calculated as per SCRR, 1,957 as a % of (A+B+C2) VIII	Number of Voting rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including (X))	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)**		Number of Shares Pledged or otherwise encumbered (XIII)		Number of Equity shares held in dematerialized form (XIV)		
									Number of Voting rights					Total as a % of Total Voting rights	No.(a)	As a % of Total shares held (b)	No.(a)		As a % Total shares held	
									Class X*	Class Y	Total									
(A)	<b>Promoter and Promoter Group</b>																			
(1)	<b>Indian</b>																			
(a)	Individuals/ Hindu Undivided Family			2	40,58,520	0	0	40,58,520	62.70	40,58,520	0	4058520	62.70	0	0	0	0.00	0	0.00	40,58,520
1	RAJEEV SINGH DUGAL	ADQPD6038A		1	40,44,540	0	0	4044540	62.48	4044540	0	4044540	62.48	0	0	0	0.00	0	0.00	4044540
2	KASHVI DUGA	ALQPD5322H		1	13,980	0	0	13980	0.22	13980	0	13980	0.22	0	0	0	0.00	0	0.00	13980
(b)	Central Government/ State Government(s)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(c)	Financial Institutions/ Banks			0	0	0	0	0	0.00	0.00	0	0	0.00	0	0	0	0.00	0	0.00	0
(d)	Any other			1	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
	KU BENEFIT TRUST	AAATK5068L		1	7,91,000	0	0	791000	12.22	791000	0	791000	12.22	0	0	0	0.00	0	0.00	791000
	<b>Sub-Total (A) (1)</b>			<b>3</b>	<b>48,49,520.00</b>	<b>0</b>	<b>0</b>	<b>48,49,520</b>	<b>74.92</b>	<b>4849520</b>	<b>0.00</b>	<b>4849520</b>	<b>74.92</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>48,49,520</b>
(2)	<b>Foreign</b>																			
(a)	Individuals( Non-Resident individuals/ Foreign Individuals)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(b)	Government			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(c)	Institutions			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(d)	Foreign Profolio Investor			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(e)	Any other (specify)			0	0	0	0	0	0.00	0.00	0	0	0.00	0	0	0	0.00	0	0.00	0
	<b>Sub-Total (A) (2)</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>			<b>3</b>	<b>4849520</b>	<b>0</b>	<b>0</b>	<b>48,49,520</b>	<b>74.92</b>	<b>48,49,520</b>	<b>0</b>	<b>48,49,520</b>	<b>74.92</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>48,49,520</b>

## Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

## (III) Statement showing Shareholding Pattern of the Public shareholder

Category	Category & name of the Shareholders (I)	PAN (II)	Number of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII= IV+V+VI	Shareholding % calculated as per SCRR, 1,957 as a % of (A+B+C2) VIII	Number of Voting rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including (X))	Total shareholding, assuming full of convertible (as a percentage of share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares Pledged or otherwise encumbered (XIII)		Number of Equity shares held in dematerialized form (XIV)		
									Number of Voting rights					Total as a % of Total Voting rights	No.(a)	As a % of Total shares	No.(a)		As a % of Total share	
									Class X*	Class Y	Total									
	<b>Public Shareholding</b>																			
(1)	<b>Institutions</b>																			
(a)	Mutual Funds/ UTI			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(b)	Venture Capital Funds			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(c)	Alternate Investment Funds			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(f)	Financial Institutions/ Banks			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(g)	Insurance Companies			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(h)	Provident Funds/ Pension Funds			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0

For RSD FINANCE LTD.


  
Managing Director

(i)	Any Other Foreign Institutional Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B) (1)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B) (2)		0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0	0	0.00	0.00	0	0	0	0.00
(3)	Non-Institutions																			
(a)	Individuals -																			
	i. Individual shareholders holding nominal share capital upto Rs.2 lakh		783	279477	0	0	279477	4.32	279477	0	279477	4.32	0	0	0	0.00	0	0.00	86877	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh		5	335030	0	0	335030	5.18	335030	0	335030	5.18	0	0	0	0.00	0	0.00	165530	
1	TARA KHOWALA	AHTPK7540H	1	101030	0	0	101030	1.56	101030	0	101030	1.56	0	0	0	0.00	0	0.00	101030	
2	JAYESH TAUNK	ABUPT8506H	1	75000	0	0	75000	1.16	75000	0	75000	1.16	0	0	0	0.00	0	0.00	0	
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	
(e)	Any Other: (Body_Corp)		6	1009213	0	0	1009213	15.59	1009213	0	1009213	15.59	0	0	0	0.00	0	0.00	660023	
			6	1009213	0	0	1009213	15.59	1009213	0	1009213	15.59	0	0	0	0.00	0	0.00	660023	
1	MRIGAYA TIE UP PRIVATE LIMIT	AAFCM3658Q	1	312500	0	0	312500	4.83	312500	0	312500	4.83	0	0	0	0.00	0	0.00	312500	
2	NARSINGH AGRO INDUSTRIES	AAMFN0407A	1	254923	0	0	254923	3.94	254923	0	254923	3.94	0	0	0	0.00	0	0.00	49923	
3	ARKAYA AGRODEAL LLP	ABCFA9202D	1	243990	0	0	243990	3.77	243990	0	243990	3.77	0	0	0	0.00	0	0.00	100000	
4	VINTAGE TRADELINKS PVT LTD	AADCV1470B	1	196900	0	0	196900	3.04	196900	0	196900	3.04	0	0	0	0.00	0	0.00	196700	
	i .NRI		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0	
	ii.FOR.COMP		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0	
	Sub-Total (B)(3)		794	1623720	0	0	1623720	25.08	1623720	0	1623720	25.08	0	0	0	0.00	0	0.00	9,12,430	
	Total Public Shareholding B = (B)(1)+(B)(2)+(B)(3)		794	1623720	0	0	16,23,720	25.08	16,23,720	0	16,23,720	25.08	0	0	0	0.00	0	0.00	9,12,430	
	GRAND TOTAL (A) + (B) + (C)		797	6473240	0	0	64,73,240	100.00	64,73,240	0	64,73,240	100.00	0	0	0	0.00	0	0.00	57,61,950	

\*Voting rights for the ordinary shares have been declared in class X

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

For RSD FINANCE LT:  
  
 Managing Director.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding as a % total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) As a % of (A+B+C2) VIII	Number of Voting Rights held in each class			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked		Number of Shares		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
(1)	<b>Custodian/DR</b>		NIL	NIL	NIL	NIL	N.A	N.A	NIL	NIL	NA	NA	NIL	NIL					
(a)	Name of DR Holder																		
(i)	abc,...																		
(ii)	efg,...																		
(2)	<b>Employee Benefit</b>																		
(a)	Name (abc...																		
	Total Non- Promoter-																		

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

For RSD FINANCE LTD.

  
Managing Director